

# AN $\mathfrak{sl}_2$ -CATEGORIFICATION OF TENSOR PRODUCTS OF SIMPLE REPRESENTATIONS OF $\mathfrak{sl}_2$

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ABSTRACT. In [12], Zheng gave a geometric categorification of tensor products of simple  $U_q(\mathfrak{sl}_2)$ -modules. We extend his work to a 2-categorical setting, in line with the higher representation theory programme of Rouquier.

## 1. INTRODUCTION

For any parabolic subgroup  $P \subset GL_n(\mathbb{C})$ , let  $\mathcal{D}_P(\mathrm{Gr}(i))$  denote the bounded derived category of  $P$ -smooth constructible  $\overline{\mathbb{Q}}_l$ -sheaves on  $\mathrm{Gr}(i)$ . Let  $V$  denote a tensor product of simple representations of  $\mathfrak{sl}_2$ . We show that there is an integer  $n \geq 0$  and a parabolic  $P \subset GL_n(\mathbb{C})$  such that  $\bigoplus_{i=0}^n \mathcal{D}_P(\mathrm{Gr}(i))$ , equipped with certain natural endofunctors  $E$  and  $F$ , is a triangulated categorification of  $V$ . This is based on work of Zheng ([12]).

Realising  $E$  and  $E^2$  as Fourier-Mukai transforms, we explain how to define 2-morphisms  $X \in \mathrm{End}^\bullet(E)$  and  $T \in \mathrm{End}^\bullet(E^2)$  using Chern classes of canonical vector bundles. This extends our construction to an  $\mathfrak{sl}_2$ -categorification, in the sense of Chuang and Rouquier ([6]).

Keeping track of the Tate twist, we pass to a categorification of tensor products of simple representations of  $U_q(\mathfrak{sl}_2)$ . Via Koszul duality, as in [12], we obtain an abelian categorification of these representations.

Naturally, our results should generalise to the case of highest weight integrable representations of arbitrary quantum groups. Using a notion of micro-local perverse sheaves on quiver varieties, Zheng has shown ([11]) how to generalise the weak categorification.

Let  $\mathcal{D}_{P \times \mathbb{C}^*}^b(T^*(\mathrm{Gr}(i))\text{-coh})$  denote the bounded derived category of  $(P \times \mathbb{C}^*)$ -equivariant coherent sheaves on the cotangent bundle. Via Saito's mixed Hodge modules,  $\bigoplus_{i=0}^n \mathcal{D}_P(\mathrm{Gr}(i))$  can be replaced by  $\bigoplus_{i=0}^n \mathcal{D}_{P \times \mathbb{C}^*}^b(T^*(\mathrm{Gr}(i))\text{-coh})$  in the constructions above. In this picture, generalising to an arbitrary quantum group should be carried out by replacing  $T^*(\mathrm{Gr}(i))$  by other quiver varieties.

The definition of  $X$  and  $T$ , and the proof that they satisfy the Hecke relations, was explained to me by Raphaël Rouquier. I thank him very much for his generosity, and for the many things I have learnt from him.

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## 2. TRIANGULATED $\mathfrak{sl}_2$ -CATEGORIFICATION OF TENSOR PRODUCTS

**2.1. Abelian  $\mathfrak{sl}_2$ -categorification.** Let  $H_n(q)$ , for  $q \neq 0, 1$ , denote the affine Hecke algebra of type  $\tilde{A}_{n-1}$  over a field  $k$ . Let  $H_n(0)$  (resp.  $H_n(1)$ ) denote the nil (resp. degenerate) affine Hecke algebra of type  $\tilde{A}_{n-1}$ . In particular,  $H_n(1)$  is not the specialisation of the affine Hecke algebra to  $q = 1$ .

We shall mainly need  $H_n(0)$ , which has generators  $T_1, \dots, T_{n-1}, X_1, \dots, X_n$ , subject to the following relations:

$$\begin{aligned}
T_i^2 &= 0 \\
T_i T_{i+1} T_i &= T_{i+1} T_i T_{i+1} \\
T_i T_j &= T_j T_i \quad \text{if } |i - j| > 1 \\
X_i X_j &= X_j X_i \\
T_i X_j &= X_j T_i \quad \text{if } |i - j| > 1 \\
T_i X_i &= X_{i+1} T_i - 1 \\
T_i X_{i+1} &= X_i T_i + 1.
\end{aligned}$$

In [6], Chuang and Rouquier introduced the notion of an abelian  $\mathfrak{sl}_2$ -categorification.

**Definition 2.1.** A weak abelian  $\mathfrak{sl}_2$ -categorification is the data of

- a  $k$ -linear abelian category  $\mathcal{A}$ , with finite dimensional complexified Grothendieck group  $K = \mathbb{C} \otimes K_0(\mathcal{A})$
- an adjoint pair of exact endofunctors  $(E, F)$

such that

- $E$  and  $F$  induce an action of  $\mathfrak{sl}_2$  on  $K$
- $F$  is isomorphic to a left adjoint of  $E$ .

*Example 2.2.* Let  $Gr(i)$  denote the Grassmannian variety of  $i$ -dimensional subspaces of  $\mathbb{C}^n$ , and let  $Gr(i, i+1)$  denote the partial flag variety  $\{V, W \subset \mathbb{C}^n \mid V \subset W, \dim(V) = i, \dim(W) = i+1\}$ . We have the following diagram, where  $p$  and  $q$  are the canonical projections.

$$\begin{array}{ccc}
& Gr(i, i+1) & \\
p \swarrow & & \searrow q \\
Gr(i) & & Gr(i+1)
\end{array}$$

The (singular) cohomology algebra  $H^\bullet(Gr(i))$  has a unique simple module up to isomorphism, induced by the projection

$$H^\bullet(Gr(i)) \twoheadrightarrow H^0(Gr(i)) \xrightarrow{\cong} \mathbb{C}.$$

The morphism  $q$  induces an inclusion of algebras  $H^\bullet(Gr(i+1)) \hookrightarrow H^\bullet(Gr(i, i+1))$ . The morphism  $p$  induces an inclusion of algebras  $H^\bullet(Gr(i)) \hookrightarrow H^\bullet(Gr(i, i+1))$ .

Regarding  $H^\bullet(\mathrm{Gr}(i, i+1))$  as an  $H^\bullet(\mathrm{Gr}(i+1))$ - $H^\bullet(\mathrm{Gr}(i))$ -bimodule, define

$$E_i: H^\bullet(\mathrm{Gr}(i))\text{-mod} \rightarrow H^\bullet(\mathrm{Gr}(i+1))\text{-mod}$$

by  $H^\bullet(\mathrm{Gr}(i, i+1)) \otimes_{H^\bullet(\mathrm{Gr}(i))} -$ . Regarding  $H^\bullet(\mathrm{Gr}(i, i+1))$  as an  $H^\bullet(\mathrm{Gr}(i))$ - $H^\bullet(\mathrm{Gr}(i+1))$ -bimodule, define

$$F_i: H^\bullet(\mathrm{Gr}(i+1))\text{-mod} \rightarrow H^\bullet(\mathrm{Gr}(i))\text{-mod}$$

by  $H^\bullet(\mathrm{Gr}(i, i+1)) \otimes_{H^\bullet(\mathrm{Gr}(i+1))} -$ . Then  $E = \bigoplus_{i=0}^n E_i$  and  $F = \bigoplus_{i=0}^n F_i$  define endofunctors of  $\mathcal{A}(n) = \bigoplus_{i=0}^n H^\bullet(\mathrm{Gr}(i))\text{-mod}$ .

It is classical (see 3.4 in [7], for example) that, as an  $H^\bullet(\mathrm{Gr}(i))$ -module,

$$H^\bullet(\mathrm{Gr}(i, i+1)) \cong \bigoplus_{j=0}^{n-i-1} H^\bullet(\mathrm{Gr}(i)).$$

As an  $H^\bullet(\mathrm{Gr}(i))$ -module,

$$H^\bullet(\mathrm{Gr}(i-1, i)) \cong \bigoplus_{j=0}^{i-1} H^\bullet(\mathrm{Gr}(i)).$$

It follows that

$$EF(H^\bullet(\mathrm{Gr}(i))) = i(n-i+1)H^\bullet(\mathrm{Gr}(i))$$

and that

$$FE(H^\bullet(\mathrm{Gr}(i))) = (n-i)(i+1)H^\bullet(\mathrm{Gr}(i)).$$

Let  $e$  and  $f$  denote the endomorphisms of  $K_0(\mathcal{A}(n))$  induced by  $E$  and  $F$ . Then

$$(ef - fe)([H^\bullet(\mathrm{Gr}(i))]) = (2i - n)[H^\bullet(\mathrm{Gr}(i))].$$

Since  $\mathbb{C} \otimes K_0(\mathcal{A}(n)) = \bigoplus_{i=0}^n \mathbb{C}[H^\bullet(\mathrm{Gr}(i))]$ , we have shown that  $ef - fe$  acts on  $K_0(\mathcal{A}(n)_\lambda)$  by  $\lambda$ , where  $\mathcal{A}(n)_\lambda = H^\bullet(\mathrm{Gr}(\frac{\lambda+n}{2}))\text{-mod}$ .

The endofunctors  $(E, F)$  are an adjoint pair, with  $F$  isomorphic to a left adjoint of  $E$ . We will see this later. Alternatively, one can prove it algebraically, as in Proposition 3.5 of [7].

Thus we have a weak  $\mathfrak{sl}_2$ -categorification of the simple representation of  $\mathfrak{sl}_2$  of dimension  $n$ . In this example, we merged the arguments of 5.3 in [6] and 6.2 in [7]. In the latter paper, the weak  $\mathfrak{sl}_2$ -categorification is modified to a weak categorification of the simple representation of  $U_q(\mathfrak{sl}_2)$  of dimension  $n$ , using graded versions of the functors and categories above. We will pass to  $U_q(\mathfrak{sl}_2)$  slightly differently later.

**Definition 2.3.** An abelian  $\mathfrak{sl}_2$ -categorification is the data of

- a weak  $\mathfrak{sl}_2$ -categorification  $\mathcal{A}$
- natural transformations  $X \in \mathrm{End}(E)$ ,  $T \in \mathrm{End}^2(E)$

such that

- $X - a$  is locally nilpotent for some  $a \in k$
- $T_i \rightarrow 1_{E^{n-i-1}} T 1_{E^{i-1}}$  and  $X_i \rightarrow 1_{E^{n-i}} X 1_{E^i}$  define a morphism  $H_n(q) \rightarrow \mathrm{End}(E^n)$  for all  $n$  and a fixed  $q$ .

*Remark 2.4.* The last property is key, allowing abelian  $\mathfrak{sl}_2$ -categorifications to be controlled.

*Example 2.5.* Via Koszul duality and a special case of our main result, we will see (3.2) that the weak categorification 2.2 can be extended to an  $\mathfrak{sl}_2$ -categorification.

Subquotients of the affine Hecke algebra can be used to give an algebraic abelian  $\mathfrak{sl}_2$ -categorification of the simple representation of  $\mathfrak{sl}_2$  of dimension  $n$  (see 5.3 in [6]). These ‘minimal’ categorifications play a central role in the theory of abelian higher representations of  $\mathfrak{sl}_2$  (see 5.24 in [6]).

*Remark 2.6.* The paper [6] of Chuang and Rouquier illustrates that abelian  $\mathfrak{sl}_2$ -categorifications yield derived equivalences of importance in representation theory. There are other motivations for higher representation theory beyond classical representation theory, as we remark briefly in 2.12.

**2.2. Triangulated  $\mathfrak{sl}_2$ -categorification.** We now define triangulated  $\mathfrak{sl}_2$ -categorifications, after Rouquier.

**Definition 2.7.** Let  $V$  denote a finite dimensional representation of  $\mathfrak{sl}_2$ . A *weak triangulated  $\mathfrak{sl}_2$ -categorification* of  $V$  is the data of

- a triangulated category  $\mathcal{A}$
- an adjoint pair  $(E, F)$  of triangulated endofunctors of  $\mathcal{A}$

such that

- $F$  is isomorphic to a left adjoint of  $E$
- $V = \mathbb{C} \otimes K_0(\mathcal{A})$ .

**Definition 2.8.** A *triangulated  $\mathfrak{sl}_2$ -categorification* of  $V$  is the data of

- a weak triangulated  $\mathfrak{sl}_2$ -categorification  $\mathcal{A}$
- natural transformations  $X \in \text{End}^\bullet(E)$  and  $T \in \text{End}^\bullet(E^2)$

such that

- the following diagram in  $\text{End}^\bullet(E^3)$  commutes

$$\begin{array}{ccc}
 & EEE & \\
 1_{ET} \swarrow & & \searrow T1_E \\
 EEE & & EEE \\
 \downarrow T1_E & & \downarrow 1_{ET} \\
 EEE & & EEE \\
 1_{ET} \searrow & & \swarrow T1_E \\
 & EEE &
 \end{array}$$

- $T^2 = 0$
- $T(X1_E) - (1_ET)T = 1 = (X1_E)T - T(1_ET)$
- $X$  is nilpotent.

*Remark 2.9.* This is the case  $q = 0$ , so that we obtain a morphism  $H_n(0) \rightarrow \text{End}^\bullet(E^n)$ . We shall not need the other two cases.

*Remark 2.10.* One should also ensure that  $\mathcal{T}$  admits a weight decomposition compatible with  $E$  and  $F$ . We explain what holds for abelian categorifications.

Suppose that  $\mathcal{A}$  equipped with endofunctors  $E$  and  $F$  is an abelian  $\mathfrak{sl}_2$ -categorification of a representation  $V$  of  $\mathfrak{sl}_2$ . If  $V_\lambda$  is a weight space of  $V$ , let  $\mathcal{A}_\lambda$  denote the full subcategory of  $\mathcal{A}$  of objects whose class belongs to  $V_\lambda$  in  $\mathbb{C} \otimes K_0(\mathcal{A})$ . It is proved in 5.5 of [6] that  $\mathcal{A} = \bigoplus_\lambda \mathcal{A}_\lambda$ , so that the class of an indecomposable object of  $\mathcal{A}$  is a weight vector.

Furthermore,  $E$  and  $F$  are compatible with the weight decomposition of  $\mathcal{A}$ . Indeed, it is proved in 5.27 of [6] that if  $\lambda \geq 0$ , then

$$EF\text{Id}_{\mathcal{A}_{-\lambda}} \bigoplus \text{Id}_{\mathcal{A}_\lambda}^{\oplus \lambda} \cong FE\text{Id}_{\mathcal{A}_{-\lambda}}$$

and

$$EF\text{Id}_{\mathcal{A}_\lambda} \cong FE\text{Id}_{\mathcal{A}_\lambda} \bigoplus \text{Id}_{\mathcal{A}_\lambda}^{\oplus \lambda}.$$

In the triangulated case, one (probably) cannot deduce these facts from the axioms, so a stronger condition is needed. We avoid the question of what such a condition should be.

*Example 2.11.* Let  $\mathcal{A}$  be an abelian  $\mathfrak{sl}_2$ -categorification of  $V$ , with endofunctors  $E$  and  $F$  and natural transformations  $X$  and  $T$ . The functors  $E$  and  $F$  pass to endofunctors  $E^\bullet$  and  $F^\bullet$  on the derived category  $\mathcal{D}(\mathcal{A})$  of  $\mathcal{A}$ . Similarly,  $X$  and  $T$  pass to natural transformations  $X^\bullet \in \text{End}^\bullet(E^\bullet)$  and  $T^\bullet \in \text{End}^\bullet((E^2)^\bullet)$ , giving  $\mathcal{D}(\mathcal{A})$  the structure of a triangulated  $\mathfrak{sl}_2$ -categorification of  $V$ .

*Remark 2.12.* Following a suggestion of Crane and Frenkel, Rouquier has conjectured that, after passing from triangulated categories to dg-categories, higher representations (of which  $\mathfrak{sl}_2$ -categorifications are a special case) should give rise to a 4-dimensional TQFT. The decategorification of the TQFT should recover the 3-dimensional TQFT of Reshetikhin-Turaev.

Rouquier has also suggested that higher representation theory should allow moduli space constructions to be bypassed. This would give an algebraic approach to Donaldson-Thomas and Gromov-Witten invariants.

**2.3. Weak categorification.** Let  $G = GL_n(\mathbb{C})$ , and fix a Borel subgroup  $B \subset G$ . Fix a prime number  $l$ , and let  $\overline{\mathbb{Q}_l}$  denote the algebraic closure of the field of  $l$ -adic numbers. Given a complex algebraic variety  $X$  (with its étale topology) equipped with an action of  $B$ , let  $\mathcal{D}(X)$  denote the bounded derived category of  $B$ -smooth constructible  $\overline{\mathbb{Q}_l}$ -sheaves on  $X$ . Thus  $\mathcal{D}(X)$  is the full subcategory of the bounded derived category of constructible  $\overline{\mathbb{Q}_l}$ -sheaves (see 2.2.18 in [3]) consisting of complexes whose cohomology sheaves are locally constant on  $B$ -orbits.

Let  $X \xrightarrow{f} Y$  be a morphism of  $B$ -schemes of finite type over  $\mathbb{C}$ . The usual induced functors between the bounded derived categories of constructible  $\overline{\mathbb{Q}_l}$ -sheaves on  $X$  and  $Y$  restrict to functors  $f_*, f_! : \mathcal{D}(X) \rightarrow \mathcal{D}(Y)$ , and  $f^*, f^! : \mathcal{D}(Y) \rightarrow \mathcal{D}(X)$ .

Fix a positive integer  $n$ . Let  $\text{Gr}(i)$  and  $\text{Gr}(i, i+1)$  be as in §2.2. We have the following diagram, where  $p$  and  $q$  are the canonical projections.

$$\begin{array}{ccc} & \text{Gr}(i, i+1) & \\ p \swarrow & & \searrow q \\ \text{Gr}(i) & & \text{Gr}(i+1) \end{array}$$

We shall use the fact that  $p$  and  $q$  are proper, so that  $p_* = p_!$  and  $q_* = q_!$ , without further mention. Let  $E_i = q_! p^* : \mathcal{D}(\text{Gr}(i)) \rightarrow \mathcal{D}(\text{Gr}(i+1))$  and  $F_i = p_! q^* : \mathcal{D}(\text{Gr}(i+1)) \rightarrow \mathcal{D}(\text{Gr}(i))$ . Let  $\mathcal{T} = \bigoplus_{i=0}^n \mathcal{D}(\text{Gr}(i))$ , and define  $E = \bigoplus_{i=0}^n E_i : \mathcal{T} \rightarrow \mathcal{T}$ ,  $F = \bigoplus_{i=0}^n F_i : \mathcal{T} \rightarrow \mathcal{T}$ .

The proof of the following proposition is borrowed from 3.3.4 in [12]. We denote the constant  $\overline{\mathbb{Q}_l}$ -sheaf on  $X$ , regarded as an object of  $\mathcal{D}(X)$  concentrated in degree zero, by  $\overline{\mathbb{Q}_l}$  or  $(\overline{\mathbb{Q}_l})_X$ .

**Proposition 2.13.** *There is an isomorphism of functors*

$$F_i E_i \oplus \bigoplus_{n-i \leq j < i} \text{Id}[-2j](-j) \cong E_i F_i \oplus \bigoplus_{i \leq j < n-i} \text{Id}[-2j](-j),$$

where  $[-]$  denotes the shift functor of  $\mathcal{T}$ , and  $(-)$  denotes the Tate twist.

*Proof.* Let

$$X = \{V_1, V_2 \in \text{Gr}(i) \mid \dim(V_1 + V_2) \leq i + 1\}$$

and

$$Y = \{V_1, V_2, V_3 \mid V_1, V_2 \in \text{Gr}(i), V_3 \in \text{Gr}(i+1), V_1 \subset V_3, V_2 \subset V_3\}.$$

We have the following commutative diagram.

$$\begin{array}{ccccc}
X & \xrightarrow{v} & \mathrm{Gr}(i) & & \\
\downarrow u & \nearrow r & \swarrow p & & \\
& & Y & \xrightarrow{t} & \mathrm{Gr}(i, i+1) \\
& & \downarrow s & & \downarrow q \\
\mathrm{Gr}(i) & \xleftarrow{p} & \mathrm{Gr}(i, i+1) & \xrightarrow{q} & \mathrm{Gr}(i+1)
\end{array}$$

Here  $p$  and  $q$  are as above, and

$$\begin{aligned}
s(V_1, V_2, V_3) &= (V_1, V_3) \\
t(V_1, V_2, V_3) &= (V_2, V_3) \\
r(V_1, V_2, V_3) &= (V_1, V_2) \\
u(V_1, V_2) &= V_1 \\
v(V_1, V_2) &= V_2.
\end{aligned}$$

Note that  $Y$  is the fibred product  $\mathrm{Gr}(i, i+1) \times_{\mathrm{Gr}(i+1)} \mathrm{Gr}(i, i+1)$ . Hence, by proper base change, (see XII, 5.1 in [1])  $q^*q_! \simeq t_!s^*$ . Thus  $F_i E_i = p_!q^*q_!p^* \simeq p_!t_!s^*p^* = v_!r_!r^*u^*$ .

By sheafified Poincaré duality (see XVIII 3.2.5 in [1], and II 7.5 in [9]),  $r_!r^*(-) \simeq r_!\overline{\mathbb{Q}}_l \otimes -$ . Hence  $F_i E_i \simeq v_!(r_!\overline{\mathbb{Q}}_l \otimes u^*(-))$ .

Let  $i: \Delta \hookrightarrow X$  denote the inclusion of the diagonal  $\Delta$  in  $X$ . Note that

$$\mathrm{Id}_{\mathrm{Gr}(i)} \simeq (vi)_!(ui)^* \simeq v_!i_!i^*u^*.$$

We deduce from sheafified Poincaré duality that

$$\mathrm{Id}_{\mathrm{Gr}(i)}(-) \simeq v_!(i_!\overline{\mathbb{Q}}_l \otimes u^*(-)).$$

Since  $r$  is an isomorphism above  $X \setminus \Delta$ , we have the following commutative diagram.

$$\begin{array}{ccc}
X \setminus \Delta & \xrightarrow{\mathrm{id}} & X \setminus \Delta \\
\downarrow r^{-1} \sim & & \downarrow \\
r^{-1}(X \setminus \Delta) & & \\
\downarrow & & \downarrow \\
Y & \xrightarrow{r} & X
\end{array}$$

By proper base change, the restriction  $(r_!\overline{\mathbb{Q}}_l)_{|X \setminus \Delta}$  is isomorphic to  $(\overline{\mathbb{Q}}_l)_{X \setminus \Delta}$ .

Over  $\Delta$ ,  $r$  is a  $\mathbb{P}^{n-i-1}$ -bundle. Applying proper base change to the diagram

$$\begin{array}{ccc}
r^{-1}(\Delta) & \xrightarrow{r} & \Delta \\
\downarrow & & \downarrow \\
Y & \xrightarrow{r} & X
\end{array}$$

we see that the restriction  $(r_!\overline{\mathbb{Q}}_l)_{|\Delta}$  is isomorphic to  $r_!((\overline{\mathbb{Q}}_l)_{r^{-1}(\Delta)})$ . Hence (see Lemma 5.4.12 of [3]),

$$(r_!\overline{\mathbb{Q}}_l)_{|\Delta} \simeq \bigoplus_{j=0}^{n-i-1} (\overline{\mathbb{Q}}_l)_{\Delta}[-2j](-j).$$

Let

$$Y' = \{V_1, V_2, V_3 \mid V_1 \in \mathrm{Gr}(i-1), V_2, V_3 \in \mathrm{Gr}(i), V_1 \subset V_2, V_1 \subset V_3\}.$$

Note also that

$$X = \{V_1, V_2 \in \mathrm{Gr}(i) \mid \dim(V \cap V') \geq i-1\}.$$

We have the following canonical commutative diagram.

$$\begin{array}{ccccc} X & \xrightarrow{v} & \mathrm{Gr}(i) & & \\ & \swarrow r' & & \nearrow q & \\ & & Y' & \xrightarrow{t} & \mathrm{Gr}(i-1, i) \\ & & \downarrow s & & \downarrow p \\ \mathrm{Gr}(i) & \xleftarrow{q} & \mathrm{Gr}(i-1, i) & \xrightarrow{p} & \mathrm{Gr}(i-1) \end{array}$$

Here  $u$  and  $v$  are as in the commutative diagram at the start of the proof,  $p$  and  $q$  are the canonical projections, and

$$\begin{aligned} s(V_1, V_2, V_3) &= (V_1, V_2) \\ t(V_1, V_2, V_3) &= (V_1, V_3) \\ r'(V_1, V_2, V_3) &= (V_2, V_3). \end{aligned}$$

As above, we find that

$$\begin{aligned} E_i F_i(-) &\simeq v_!(r'_1 \overline{\mathbb{Q}}_l \otimes u^*(-)) \\ (r'_1 \overline{\mathbb{Q}}_l)_{|X \setminus \Delta} &\simeq (\overline{\mathbb{Q}}_l)_{X \setminus \Delta} \\ (r'_1 \overline{\mathbb{Q}}_l)_{|\Delta} &\simeq \bigoplus_{j=0}^{i-1} (\overline{\mathbb{Q}}_l)_{\Delta}[-2j](-j). \end{aligned}$$

We have shown that

$$(r_1 \overline{\mathbb{Q}}_l)_{|\Delta} \oplus \bigoplus_{n-i \leq j < i} (\overline{\mathbb{Q}}_l)_{|\Delta}[-2j](-j) \simeq (r'_1 \overline{\mathbb{Q}}_l)_{|\Delta} \oplus \bigoplus_{i \leq j < n-i} (\overline{\mathbb{Q}}_l)_{|\Delta}[-2j](-j).$$

This isomorphism, the decomposition theorem of Beilinson, Bernstein, Deligne and Gabber (6.2.5 in [3]), and the fact that

$$(r_1 \overline{\mathbb{Q}}_l)_{|X \setminus \Delta} \simeq (r'_1 \overline{\mathbb{Q}}_l)_{|X \setminus \Delta} \simeq (\overline{\mathbb{Q}}_l)_{X \setminus \Delta},$$

imply that

$$r_1 \overline{\mathbb{Q}}_l \oplus \bigoplus_{n-i \leq j < i} i_1 \overline{\mathbb{Q}}_l[-2j](-j) \simeq r'_1 \overline{\mathbb{Q}}_l \oplus \bigoplus_{i \leq j < n-i} i_1 \overline{\mathbb{Q}}_l[-2j](-j).$$

The result follows by comparing this isomorphism with the realisations of  $E_i F_i$ ,  $F_i E_i$ , and  $\mathrm{Id}_{\mathrm{Gr}(i)}$  above.  $\square$

**Corollary 2.14.** *The functors  $E$  and  $F$  induce an action of  $\mathfrak{sl}_2$  on  $\mathbb{C} \otimes K_0(\mathcal{A})$ .*

The functors  $E$  and  $F$  are adjoint to one another in the following sense.

**Proposition 2.15.** *Up to a shift and a twist,  $(E, F)$  and  $(F, E)$  are adjoint pairs of functors.*

*Proof.* Note that  $p: \mathrm{Gr}(i, i+1) \rightarrow \mathrm{Gr}(i)$  is a  $\mathbb{P}^{n-i-1}$ -fibre bundle, and  $q: \mathrm{Gr}(i, i+1) \rightarrow \mathrm{Gr}(i+1)$  is a  $\mathbb{P}^i$ -fibre bundle. Hence  $p^! \simeq p^*[2(n-i-1)](n-i-1)$  and  $q^! \simeq q^*[2i](i)$  (see, for example, II.8.1 in [9]). The result follows from the adjointness of  $(p^*, p_*)$ ,  $(p_!, p^!)$ ,  $(q^*, q_*)$ , and  $(q_!, q^!)$ .  $\square$

Using the weight space decomposition of  $\mathbb{C} \otimes K_0(\mathcal{T})$ , we now determine the action of  $\mathfrak{sl}_2$  on  $\mathbb{C} \otimes K_0(\mathcal{T})$  induced by  $E$  and  $F$ . A different approach was taken in [12].

Let  $\mathcal{P}(\mathrm{Gr}(i))$  denote the category of  $B$ -smooth perverse sheaves on  $\mathrm{Gr}(i)$ , and let  $\mathcal{D}^b(\mathcal{P}(\mathrm{Gr}(i)))$  denote the bounded derived category of  $\mathcal{P}(\mathrm{Gr}(i))$  with its standard  $t$ -structure. There exists (see [2]) a canonical  $t$ -exact triangulated functor  $\mathcal{D}^b(\mathcal{P}(\mathrm{Gr}(i))) \rightarrow \mathcal{D}(\mathrm{Gr}(i))$ , which is the identity on  $\mathcal{P}(\mathrm{Gr}(i))$ . The existence follows from the existence of a filtered counterpart to  $\mathcal{D}(\mathrm{Gr}(i))$ , via the formalism of filtered triangulated categories.

**Proposition 2.16.** *The canonical functor  $\mathcal{D}^b(\mathcal{P}(\mathrm{Gr}(i))) \rightarrow \mathcal{D}(\mathrm{Gr}(i))$  is an equivalence of categories.*

*Proof.* This is 1.3 in [2]. □

**Proposition 2.17.** *The category  $\mathcal{D}^b(\mathcal{P}(\mathrm{Gr}(i)))$  is generated as a triangulated category by the projective objects in  $\mathcal{P}(\mathrm{Gr}(i))$ .*

*Proof.* Indeed,  $\mathcal{P}(\mathrm{Gr}(i))$  has enough projectives (3.3.1 in [4]), and has finite global dimension (3.2.2 in [4]). □

**Corollary 2.18.** *As a  $\mathbb{C}$ -vector space,  $\mathbb{C} \otimes K_0(\mathcal{T})$  has dimension  $2^{n+1}$ .*

*Proof.* Let  $\mathcal{T}' = \bigoplus_{i=0}^n \mathcal{D}^b(\mathcal{P}(\mathrm{Gr}(i)))$ . By 2.16,  $\mathbb{C} \otimes K_0(\mathcal{T}) \simeq \mathbb{C} \otimes K_0(\mathcal{T}')$ . It follows from 2.17 that a basis of  $\mathbb{C} \otimes K_0(\mathcal{T}')$  is given by the classes of indecomposable projective perverse sheaves in  $\mathcal{T}'$ .

Indecomposable projective perverse sheaves in  $\mathcal{T}'$  are in bijection with simple perverse sheaves in  $\mathcal{T}'$ , which are in bijection with orbits of  $B$  on  $\bigoplus_{i=0}^n \mathrm{Gr}(i)$ . It is classical that there are  $\binom{n}{i}$  orbits of  $B$  on  $\mathrm{Gr}(i)$ , and the result follows. □

**Proposition 2.19.** *Let  $L$  denote the standard representation of  $\mathfrak{sl}_2$ . As a representation of  $\mathfrak{sl}_2$ ,  $\mathbb{C} \otimes K_0(\mathcal{T}) \simeq L^{\otimes n}$ .*

*Proof.* By 2.18,  $\mathbb{C} \otimes K_0(\mathcal{T})$  has the correct dimension. Let  $h = ef - fe$ , where  $e$  and  $f$  are the endomorphisms of  $\mathbb{C} \otimes K_0(\mathcal{T})$  induced by  $E$  and  $F$ . By 2.13, and the fact that  $\mathbb{C} \otimes K_0(\mathrm{Gr}(i))$  has dimension  $\binom{n}{i}$ , the eigenvalues of  $h$  on  $\mathbb{C} \otimes K_0(\mathcal{T})$  are correct. □

We have proved the following result.

**Corollary 2.20.** *The endofunctors  $E$  and  $F$  give  $\mathcal{T}$  the structure of a weak  $\mathfrak{sl}_2$ -categorification of  $L^{\otimes n}$ .*

Given a parabolic subgroup  $P$  of  $GL_n(\mathbb{C})$  for some  $n$ , let  $\mathcal{D}_P(\mathrm{Gr}(i))$  denote the category of  $P$ -smooth constructible  $\overline{\mathbb{Q}}_l$ -sheaves. Let  $V$  be a tensor product of arbitrary simple representations of  $\mathfrak{sl}_2$ .

**Proposition 2.21.** *There is an integer  $n \geq 0$ , a parabolic subgroup  $P \subset GL_n(\mathbb{C})$ , and a pair of endofunctors  $(E_P, F_P)$  of  $\mathcal{T}_P = \bigoplus_{i=0}^n \mathcal{D}_P(\mathrm{Gr}(i))$  giving  $\mathcal{T}_P$  the structure of a weak  $\mathfrak{sl}_2$ -categorification of  $V$ .*

*Proof.* Exactly as in the case  $V = L^{\otimes n}$ ,  $P = B \subset GL_n(\mathbb{C})$  above. The results 2.18 and 2.19 must be modified, but we omit this. Given  $V$ , the interested reader will have no difficulty finding the corresponding parabolic and checking the details. □

**2.4. 2-morphisms  $X$  and  $T$ .** We now explain how to extend the weak  $\mathfrak{sl}_2$ -categorification  $\mathcal{T}$  of  $L^{\otimes n}$  to a Chuang-Rouquier  $\mathfrak{sl}_2$ -categorification. In order to define  $X \in \mathrm{End}^\bullet(E)$ , we realise  $E_i$  as a Fourier-Mukai transform for every  $i$ .



The following diagram commutes, where  $p, q, p'$  and  $q'$  are the canonical projections, and  $j$  is the canonical map  $(V_1 \subset V_2) \rightarrow (V_1, V_2)$ .

$$\begin{array}{ccccc} & & \text{Gr}(i, i+1) & & \\ & \swarrow p & \downarrow j & \searrow q & \\ \text{Gr}(i) & \xleftarrow{p'} & \text{Gr}(i) \times \text{Gr}(i+1) & \xrightarrow{q'} & \text{Gr}(i+1) \end{array}$$

**Proposition 2.22.** *There is an isomorphism of functors  $E_i \simeq q'_*(j_*\overline{\mathbb{Q}}_l \otimes p'^*(-))$ .*

*Proof.* Straightforward.  $\square$

Let  $\mathcal{L}$  denote the tautological line bundle on  $\text{Gr}(i, i+1)$ . The fibre above the point  $V_i \subset V_{i+1}$  is  $V_{i+1}/V_i$ . The first Chern class  $c_1(\mathcal{L}) \in H^2(\text{Gr}(i, i+1), \overline{\mathbb{Q}}_l(1))$  of  $\mathcal{L}$  can be viewed as a morphism, belonging to  $\text{Hom}_{\mathcal{D}(\text{Gr}(i, i+1))}(\overline{\mathbb{Q}}_l, \overline{\mathbb{Q}}_l[2](1))$ . By functoriality,  $c_1(\mathcal{L})$  determines a morphism in  $\text{Hom}_{\mathcal{D}(\text{Gr}(i) \times \text{Gr}(i+1))}(j_*\overline{\mathbb{Q}}_l, j_*\overline{\mathbb{Q}}_l[2](1))$  and hence, by the proposition, determines an endomorphism of  $E_i$ . Assembling these endomorphisms, we obtain an endomorphism  $X$  of  $E$ .

In order to define  $T \in \text{End}^\bullet(E^2)$ , we realise  $E_{i+1}E_i$  as a Fourier-Mukai transform for every  $i$ . We have the following commutative diagram, where  $p, q, p'$  and  $q'$  are the canonical projections, and  $j$  is the canonical map  $(V_1 \subset V_2 \subset V_3) \rightarrow (V_1, V_3)$ .

$$\begin{array}{ccccc} & & \text{Gr}(i, i+1, i+2) & & \\ & \swarrow p & \downarrow j & \searrow q & \\ \text{Gr}(i) & \xleftarrow{p'} & \text{Gr}(i) \times \text{Gr}(i+2) & \xrightarrow{q'} & \text{Gr}(i+2) \end{array}$$

**Proposition 2.23.** *There is an isomorphism of functors  $E_{i+1}E_i \simeq q'_*(j_*\overline{\mathbb{Q}}_l \otimes p'^*(-))$ .*

*Proof.* We have the following commutative diagram, where the  $\mu_i, \psi_i$  and  $j_i$  are the canonical maps, and  $j$  is the same as in the diagram above.

$$\begin{array}{ccccccc} & & & \text{Gr}(i, i+1, i+2) & & & \\ & & & \downarrow j & & & \\ & \swarrow \mu_1 & & & \searrow \mu_2 & & \\ \text{Gr}(i, i+1) \times \text{Gr}(i+2) & & \text{Gr}(i) \times \text{Gr}(i+2) & & \text{Gr}(i) \times \text{Gr}(i+1, i+2) & & \\ & \swarrow \phi_1 & & \downarrow \psi_1 & & \swarrow \psi_2 & \\ \text{Gr}(i, i+1) & & \text{Gr}(i) \times \text{Gr}(i+1) \times \text{Gr}(i+2) & & \text{Gr}(i+1, i+2) & & \\ & \searrow j_1 & & \uparrow \varphi_2 & & \searrow j_2 & \\ & & \text{Gr}(i) \times \text{Gr}(i+1) & & \text{Gr}(i+1) \times \text{Gr}(i+2) & & \\ & & \downarrow \varphi_1 & & \downarrow \varphi_3 & & \\ & & \text{Gr}(i) \times \text{Gr}(i+1) & & \text{Gr}(i+1) \times \text{Gr}(i+2) & & \end{array}$$

It follows from 2.22 (see 12.2.2 in [10]) that there is an isomorphism of functors  $E_{i+1}E_i \simeq q'_*(K \otimes p'^*(-))$ , where  $K = \varphi_{2*}(\varphi_1^*j_{1*}\overline{\mathbb{Q}}_l \otimes \varphi_3^*j_{2*}\overline{\mathbb{Q}}_l)$ . By proper base change with respect to the diamonds on the lower left and lower right of the diagram,  $K \simeq \varphi_{2*}(\psi_{1*}\overline{\mathbb{Q}}_l \otimes \psi_{2*}\overline{\mathbb{Q}}_l)$ .

Thus  $K \simeq \varphi_{2*}\psi_{1*}(\psi_1^*\psi_{2*}\overline{\mathbb{Q}}_l)$ . By proper base change with respect to the upper diamond (ignoring the morphisms inside),  $K \simeq \varphi_{2*}\psi_{1*}(\mu_{1*}\overline{\mathbb{Q}}_l)$ . The result follows from the commutativity of the upper diamond.  $\square$

*Remark 2.24.* A different characterisation of  $E_{i+1}E_i$  is given in 3.3.3 of [12]. We will see it later.

The map  $j$  factors through the canonical map  $\pi: \text{Gr}(i, i+1, i+2) \rightarrow \text{Gr}(i, i+2)$  given by  $(V_1 \subset V_2 \subset V_3) \rightarrow (V_1 \subset V_3)$ . Let  $R^k \pi_*$  denote the  $k^{\text{th}}$  higher direct image of  $\pi$ , and regard  $R^2 \pi_*(\overline{\mathbb{Q}}_l)$  as a complex concentrated in degree zero. Since  $R^k \pi_*$  vanishes for  $k > 2$ , there is a canonical morphism  $\pi_*(\overline{\mathbb{Q}}_l[2]) \rightarrow R^2 \pi_*(\overline{\mathbb{Q}}_l)$  in  $\mathcal{D}(\text{Gr}(i, i+2))$ .

Let  $\eta: R^2 \pi_*(\overline{\mathbb{Q}}_l(1)) \rightarrow \overline{\mathbb{Q}}_l$  denote the trace morphism, which is an isomorphism of  $\overline{\mathbb{Q}}_l$ -sheaves (see XVIII 2.9 in [1]). By composition, we obtain a canonical morphism  $t': \pi_!(\overline{\mathbb{Q}}_l[2](1)) \rightarrow R^2 \pi_!(\overline{\mathbb{Q}}_l) \rightarrow \overline{\mathbb{Q}}_l$ .

Moreover,  $t'$  extends to a natural transformation  $\pi_! \pi^!(K) \rightarrow K$  for any  $K \in \mathcal{D}(\text{Gr}(i, i+2))$ , via the following commutative diagram (cf. II.8 in [9]).

$$\begin{array}{ccc} \pi_! \pi^! K & \dashrightarrow & K \\ \sim \downarrow & & \uparrow t' \otimes \text{id}_K \\ \pi_!(\overline{\mathbb{Q}}_l[2](1) \otimes \pi^*(K)) & \xrightarrow{\sim} & \pi_!(\overline{\mathbb{Q}}_l[2](1)) \otimes K \end{array}$$

Composing with the adjunction morphism  $K \rightarrow \pi_* \pi^*(K)$ , we get a natural transformation  $T': \pi_! \pi^!(K) \rightarrow \pi_* \pi^*(K)$ . Let  $t$  denote the morphism obtained by taking  $K = \overline{\mathbb{Q}}_l[-2](-1)$ . By 2.23,  $t$  induces an endomorphism of  $E_{i+1} E_i$  for every  $i$ . Assembling these endomorphisms, we obtain an endomorphism  $T$  of  $E^2$ .

*Remark 2.25.* The definitions of  $X$  and  $T$  were outlined to the author by Rouquier.

Let  $\mathcal{E}$  denote the canonical rank two vector bundle on  $\text{Gr}(i, i+2)$  whose fibre above  $(V_i \subset V_{i+2})$  is  $V_{i+2}/V_i$ . The  $\mathbb{P}^1$ -bundle  $\pi$  is the projectivisation of  $\mathcal{E}$ , and thus gives rise to a tautological line bundle  $\mathcal{O}_\pi(-1)$  on  $\text{Gr}(i, i+1, i+2)$ . Indeed,  $\mathcal{O}_\pi(-1)$  is a subbundle of the pull-back bundle  $\pi^* \mathcal{E}$ , whose fibre above  $(V_i \subset V_{i+1} \subset V_{i+2})$  is  $V_{i+1}/V_i$ . The quotient bundle  $\pi^* \mathcal{E}/\mathcal{O}_\pi(-1)$  is the line bundle on  $\text{Gr}(i, i+1, i+2)$  corresponding to the twisting sheaf  $\mathcal{O}_\pi(1)$ . The fibre of  $\pi^* \mathcal{E}/\mathcal{O}_\pi(-1)$  above  $(V_i \subset V_{i+1} \subset V_{i+2})$  is  $V_{i+2}/V_{i+1}$ .

By 2.23, the first Chern classes  $c_1(\mathcal{O}_\pi(-1))$  and  $c_1(\pi^* \mathcal{E}/\mathcal{O}_\pi(-1))$  induce endomorphisms of  $E^2$ , which we denote by  $x$  and  $y$  respectively.

**Proposition 2.26.** *In  $\text{End}^\bullet(E^2)$ , we have  $1_E X = x$  and  $X 1_E = y$ .*

*Proof.* The second relation can be seen by inspecting the proof of 2.23. Indeed,  $X 1_E$  is determined by the morphism  $\varphi_{2*}(\varphi_1^* j_{1*} \overline{\mathbb{Q}}_l \otimes \varphi_3^* j_{2*} c_1(\mathcal{L}))$ , where  $\mathcal{L}$  is the tautological line bundle on  $\text{Gr}(i+1, i+2)$ . By proper base change, this morphism identifies with  $\varphi_{2*}(\psi_{1*} \overline{\mathbb{Q}}_l \otimes \psi_{2*} c_1(\phi_2^* \mathcal{L}))$ , and hence with  $\varphi_{2*} \psi_{1*}(\psi_1^* \psi_{2*} c_1(\phi_2^* \mathcal{L}))$ . By proper base change once more, this morphism identifies with  $j_* c_1(\mu_2^* \phi_2^* \mathcal{L})$ . The pull-back bundle  $(\phi_2 \mu_2)^* \mathcal{L}$  is exactly  $\pi^* \mathcal{E}/\mathcal{O}_\pi(-1)$ , as required.

The endofunctor  $1_E X$  is determined by the morphism  $\varphi_{2*}(\varphi_1^* j_{1*} c_1(\mathcal{L}) \otimes \varphi_3^* j_{2*} \overline{\mathbb{Q}}_l)$ , where  $\mathcal{L}$  is the tautological line bundle on  $\text{Gr}(i, i+1)$ . As above, this morphism identifies with  $\varphi_{2*}(\psi_{1*} c_1(\phi_1^* \mathcal{L}) \otimes \psi_{2*} \overline{\mathbb{Q}}_l)$ , and hence with  $\varphi_{2*} \psi_{2*}(\psi_2^* \psi_{1*} c_1(\phi_1^* \mathcal{L}))$ . By proper base change, this morphism identifies with  $j_* c_1(\mu_1^* \phi_1^* \mathcal{L})$ . The pull-back bundle  $(\phi_1 \mu_1)^* \mathcal{L}$  is exactly  $\mathcal{O}_\pi(-1)$ , as required.  $\square$

We now show that  $T$ ,  $x$  and  $y$  satisfy the defining relations 2.1 of the affine nilHecke algebra  $H_2(0)$ . The proof was outlined to the author by Rouquier.

**Proposition 2.27.** *In  $\text{End}^\bullet(E^2)$ , we have*

$$T^2 = 0, \quad yT - Tx = 1, \quad T(x + y) = (x + y)T.$$

*Proof.* By the naturality of  $T'$ , the composition

$$\pi_*\pi^*\overline{\mathcal{Q}}_l \xrightarrow{\sim} \pi_*\overline{\mathcal{Q}}_l \xrightarrow{t} \pi_*\overline{\mathcal{Q}}_l[-2](-1) \xrightarrow{\sim} \pi_*\pi^*\overline{\mathcal{Q}}_l[-2](-1)$$

fits into the following commutative diagram, for any  $\alpha \in \text{End}_{\mathcal{D}(\text{Gr}(i, i+2))}^2(\overline{\mathcal{Q}}_l)$ .

$$\begin{array}{ccccccc} \pi_*\pi^*\overline{\mathcal{Q}}_l & \xrightarrow{\sim} & \pi_*\overline{\mathcal{Q}}_l & \xrightarrow{t} & \pi_*\overline{\mathcal{Q}}_l[-2](-1) & \xrightarrow{\sim} & \pi_*\pi^*\overline{\mathcal{Q}}_l[-2](-1) \\ \pi_*\pi^*\alpha \downarrow & & & & & & \downarrow \pi_*\pi^*\alpha[-2] \\ \pi_*\pi^*\overline{\mathcal{Q}}_l[2] & \xrightarrow{\sim} & \pi_*\overline{\mathcal{Q}}_l[2] & \xrightarrow{t[2]} & \pi_*\overline{\mathcal{Q}}_l(-1) & \xrightarrow{\sim} & \pi_*\pi^*\overline{\mathcal{Q}}_l(-1) \end{array}$$

We deduce that  $T$  commutes with  $x + y$ , since

$$c_1(\mathcal{O}_\pi(-1)) + c_1(\pi^*\mathcal{E}/\mathcal{O}_\pi(-1)) = \pi^*c_1(\mathcal{E}).$$

Furthermore,  $t[-2](-1) \circ t$  factors through a morphism  $\overline{\mathcal{Q}}_l[-2](-1) \rightarrow \overline{\mathcal{Q}}_l[-4](-2)$ . This is the zero morphism, since shifting  $\overline{\mathcal{Q}}_l$  by the dimension of  $\text{Gr}(i, i+2)$  is a simple perverse sheaf on  $\text{Gr}(i, i+2)$ . Thus  $T^2 = 0$ .

Let  $\alpha = \pi_*c_1(\mathcal{O}_\pi(-1))$  and  $\beta = \pi_*c_1(\mathcal{O}_\pi(1))$ . We claim that the following composition is the identity in  $\text{End}_{\mathcal{D}(\text{Gr}(i, i+2))}^\bullet(\overline{\mathcal{Q}}_l)$ .

$$\overline{\mathcal{Q}}_l \xrightarrow{\text{adj}} \pi_*\overline{\mathcal{Q}}_l \xrightarrow{\beta} \pi_*\overline{\mathcal{Q}}_l[2](1) \xrightarrow{t} \overline{\mathcal{Q}}_l$$

Given a point  $z \in \text{Gr}(i, i+2)$ , let  $\pi' : \pi^{-1}(z) \simeq \mathbb{P}^1 \rightarrow \{z\}$  denote the fibre map. Taking the fibre of the above composition at  $z$ , and applying proper base change, we obtain a morphism of the following form.

$$\overline{\mathcal{Q}}_l \xrightarrow{\text{adj}} \pi'_*\overline{\mathcal{Q}}_l \xrightarrow{c_1(\mathcal{O}_{\mathbb{P}^1}(1))} \pi'_*\overline{\mathcal{Q}}_l[2](1) \longrightarrow \overline{\mathcal{Q}}_l$$

Via the natural isomorphism between  $\pi'$  and the global sections functor  $\Gamma(\mathbb{P}^1, -)$ , the above morphism identifies with the following morphism, where  $\tau$  denotes the trace morphism on cohomology.

$$\overline{\mathcal{Q}}_l \xrightarrow{c_1(\mathcal{O}_{\mathbb{P}^1}(1))} H^2(\mathbb{P}^1, \overline{\mathcal{Q}}_l) \xrightarrow{\tau} \overline{\mathcal{Q}}_l$$

This is the identity, since the trace of the class of  $c_1(\mathcal{O}_{\mathbb{P}^1})$  in  $H^2(\mathbb{P}^1, \overline{\mathcal{Q}}_l)$  is 1 (see Cycle, 2.1.5 in [5]).

The following composition is also the identity morphism, since  $-c_1(\mathcal{O}_\pi(-1)) = c_1(\mathcal{O}_\pi(1))$ .

$$\overline{\mathcal{Q}}_l \xrightarrow{\text{adj}} \pi_*\overline{\mathcal{Q}}_l \xrightarrow{-\alpha} \pi_*\overline{\mathcal{Q}}_l[2](1) \xrightarrow{t} \overline{\mathcal{Q}}_l$$

The composition  $\overline{\mathcal{Q}}_l \xrightarrow{\text{adj}} \pi_*\overline{\mathcal{Q}}_l \xrightarrow{t} \overline{\mathcal{Q}}_l[-2](-1)$  is zero, since it factors through a morphism  $\overline{\mathcal{Q}}_l \rightarrow \overline{\mathcal{Q}}_l[-2](-1)$ .

It follows that the following composition is equal to the adjunction morphism  $\overline{\mathcal{Q}}_l \rightarrow \pi_*\overline{\mathcal{Q}}_l$ .

$$\overline{\mathcal{Q}}_l \xrightarrow{\text{adj}} \pi_*\overline{\mathcal{Q}}_l \xrightarrow{\beta t - t[2](1)\alpha} \pi_*\overline{\mathcal{Q}}_l$$

The following diagram commutes, since  $c_2(\pi^*\mathcal{E}) = c_1(\mathcal{O}_\pi(-1))c_1(\mathcal{O}_\pi(1))$ .

$$\begin{array}{ccccccc} & & \overline{\mathcal{Q}}_l[4](2) & & & & \\ & c_2(\mathcal{E}) \nearrow & & \searrow \text{adj} & & & \\ \overline{\mathcal{Q}}_l & \xrightarrow{\text{adj}} & \pi_*\overline{\mathcal{Q}}_l & \xrightarrow{\alpha\beta} & \pi_*\overline{\mathcal{Q}}_l[4](2) & \xrightarrow{t[2](1)} & \overline{\mathcal{Q}}_l[2](1) \end{array}$$

In particular, the row in the above diagram factors through a morphism  $\overline{\mathbb{Q}_l}[4](2) \rightarrow \overline{\mathbb{Q}_l}[2](1)$ . It is therefore zero, and the composition

$$\overline{\mathbb{Q}_l} \xrightarrow{\text{adj}} \pi_* \overline{\mathbb{Q}_l} \xrightarrow{\beta} \pi_* \overline{\mathbb{Q}_l}[2](1) \xrightarrow{\beta t - t[2](1)\alpha} \pi_* \overline{\mathbb{Q}_l}[2](1)$$

is equal to the composition

$$\overline{\mathbb{Q}_l} \xrightarrow{\text{adj}} \pi_* \overline{\mathbb{Q}_l} \xrightarrow{\beta} \pi_* \overline{\mathbb{Q}_l}[2](1) .$$

This proves that  $yT - Tx = 1$ . □

**Corollary 2.28.** *In  $\text{End}^\bullet(E^2)$ , we have  $Ty - xT = 1$  .*

**Proposition 2.29.** *In  $\text{End}^\bullet(E^3)$ , the following diagram commutes.*

$$\begin{array}{ccc}
 & EEE & \\
 1_{ET} \swarrow & & \searrow T1_E \\
 EEE & & EEE \\
 \downarrow T1_E & & \downarrow 1_{ET} \\
 EEE & & EEE \\
 1_{ET} \swarrow & & \searrow T1_E \\
 & EEE &
 \end{array}$$

*Proof.* Follows from the compatibility of the trace morphism with base change and composition. We omit the details. □

Combining 2.20, 2.27, 2.28, and 2.29, we have the following result.

**Proposition 2.30.** *The endofunctors  $E$  and  $F$ , and the endomorphisms  $X$  and  $T$ , give  $\mathcal{T}$  the structure of an  $\mathfrak{sl}_2$ -categorification of  $L^{\otimes n}$ .*

Let  $V$  be a tensor product of arbitrary simple representations of  $\mathfrak{sl}_2$ .

**Proposition 2.31.** *There is an integer  $n \geq 0$ , a parabolic subgroup  $P \subset GL_n(\mathbb{C})$ , a pair of endofunctors  $(E_P, F_P)$  of  $\mathcal{T}_P = \bigoplus_{i=0}^n \mathcal{D}_P(\text{Gr}(i))$ , and a pair of endomorphisms  $X_P \in \text{End}^\bullet(E_P)$ ,  $T_P \in \text{End}^\bullet(E_P^2)$  giving  $\mathcal{T}_P$  the structure of an  $\mathfrak{sl}_2$ -categorification of  $V$ .*

*Proof.* The integer  $n \geq 0$ , the parabolic subgroup  $P \subset GL_n(\mathbb{C})$ , and the endofunctors  $(E_P, F_P)$  are given by 2.21. The 2-morphisms  $X_P$  and  $T_P$  are obtained exactly as in the case  $V = L^{\otimes n}$  and  $P = B \subset GL_n(\mathbb{C})$  above. □

**2.5. Action of  $\mathbb{Z}[q, q^{-1}]$ .** We explain how to pass to an  $\mathfrak{sl}_2$ -categorification of the quantum group  $U_q(\mathfrak{sl}_2)$ , where  $q \in \mathbb{C}$  is neither zero nor a root of unity. A slightly different approach via shifting  $E$  and  $F$  is taken in [7] and [12].

Fix a parabolic subgroup  $P \subset GL_n(\mathbb{C})$ , and let  $\mathcal{T}_P(q) = \bigoplus_{i=0}^n \mathcal{D}_P(\text{Gr}(i))$ . Choosing an isomorphism  $\tau: \overline{\mathbb{Q}_l} \rightarrow \mathbb{C}$ , fix an element  $q^{1/2} \in \overline{\mathbb{Q}_l}$ . This allows us to define a half-integral Tate twist  $(-)(\frac{n}{2})$  on  $\mathcal{D}_P(\text{Gr}(i))$ . For even  $n$ , this is the usual Tate twist.

We have the following diagram, where  $p$  and  $r$  are the canonical projections.

$$\begin{array}{ccc}
 & \text{Gr}(i, i+1) & \\
 p \swarrow & & \searrow r \\
 \text{Gr}(i) & & \text{Gr}(i+1)
 \end{array}$$

Let  $E_i = r_! p^* \binom{n-i-1}{2}: \mathcal{D}_P(\mathrm{Gr}(i)) \rightarrow \mathcal{D}_P(\mathrm{Gr}(i+1))$ ,  $F_i = p_! r^* \binom{i}{2}: \mathcal{D}_P(\mathrm{Gr}(i+1)) \rightarrow \mathcal{D}_P(\mathrm{Gr}(i))$ , and  $G_i = \binom{2i-n}{2}: \mathcal{D}_P(\mathrm{Gr}(i)) \rightarrow \mathcal{D}_P(\mathrm{Gr}(i))$ . Define  $E, F, G: \mathcal{T}_P(q) \rightarrow \mathcal{T}_P(q)$  by  $E = \bigoplus_{i=0}^n E_i$ ,  $F = \bigoplus_{i=0}^n F_i$ , and  $G = \bigoplus_{i=0}^n G_i$ .

There is an action of  $\mathbb{Z}[q, q^{-1}]$  on  $K_0(\mathcal{T}_P(q))$  given by  $q \cdot [K] = [K(-\frac{1}{2})]$ . We prove that  $E$ ,  $F$ , and  $G$  induce an action of  $U_q(\mathfrak{sl}_2)$  on  $\mathbb{Q}(q) \otimes_{\mathbb{Z}[q, q^{-1}]} K_0(\mathcal{T}_P(q))$ .

**Proposition 2.32.** *We have  $[GG^{-1}] = [G^{-1}G] = 1$ ,  $[GEG^{-1}] = q^{-2}[E]$ ,  $[GFG^{-1}] = q^2[F]$ , and  $[EF] - [FE] = \frac{[G] - [G^{-1}]}{q - q^{-1}}$ .*

*Proof.* The last relation follows from 2.13, which implies the following isomorphism of functors.

$$F_i E_i \oplus \bigoplus_{n-i \leq j < i} \mathrm{Id}[-2j](-j) \binom{n-1}{2} \simeq E_i F_i \oplus \bigoplus_{i \leq j < n-i} \mathrm{Id}[-2j](-j) \binom{n-1}{2}.$$

The other relations are easily checked.  $\square$

Let  $V$  denote a tensor product of simple representations of  $U_q(\mathfrak{sl}_2)$ .

**Proposition 2.33.** *There is an integer  $n \geq 0$  and a parabolic subgroup  $P \subset GL_n(\mathbb{C})$ , together with endofunctors  $E, F$  and  $G$  of  $\mathcal{T}_P(q)$ , such that the induced action of  $U_q(\mathfrak{sl}_2)$  on  $\mathbb{Q}(q) \otimes_{\mathbb{Z}[q, q^{-1}]} K_0(\mathcal{T}_P(q))$  is isomorphic to the action of  $U_q(\mathfrak{sl}_2)$  on  $V$ .*

*Proof.* After 2.32, this is a question of combinatorics (cf. 2.16 - 2.21). The Clebsch-Gordon decomposition of  $V$  into a direct sum of simple representations (see 1.4.4 in [8]) gives a means to calculate the dimensions of the weight spaces of  $V$ .  $\square$

*Remark 2.34.* The proposition can be proved geometrically. This is the approach taken in [12].

Exactly as in §2.4, there are 2-morphisms  $X \in \mathrm{End}(E)$  and  $T \in \mathrm{End}(E^2)$  satisfying the relations 2.27 and 2.29, giving rise to a morphism  $H_n(0) \rightarrow \mathrm{End}(E^n)$  for every  $n$ . Furthermore,  $(G, G^{-1})$ ,  $(G^{-1}, G)$ ,  $(E, GF)$ , and  $(F, G^{-1}E)$  are adjoint pairs of functors, up to a shift (see 2.15).

*Remark 2.35.* We have, in essence, constructed a  $U_q(\mathfrak{sl}_2)$ -categorification of  $V$ , in the sense of the higher representation theory programme of Chuang and Rouquier. However, we refrain from using this terminology. Properly justifying it would take us too far afield.

Fix a parabolic subgroup  $P \subset GL_n(\mathbb{C})$ . We show that the divided powers (see 1.2 in [8]) of  $[E]$  and  $[F]$  are induced by endofunctors of  $\mathcal{T}_P(q)$ . We have the following diagram, where  $p$  and  $r$  are the canonical projections.

$$\begin{array}{ccc} & \mathrm{Gr}(i, i+s) & \\ p \swarrow & & \searrow r \\ \mathrm{Gr}(i) & & \mathrm{Gr}(i+s) \end{array}$$

Let  $E_i^{(s)} = r_! p^* \binom{s(n-i-s)}{2}: \mathrm{Gr}(i) \rightarrow \mathrm{Gr}(i+s)$ , and  $F_i^{(s)} = p_! r^* \binom{is}{2}: \mathrm{Gr}(i+s) \rightarrow \mathrm{Gr}(i)$ . Define  $E^{(s)}, F^{(s)}: \mathcal{T}_P(q) \rightarrow \mathcal{T}_P(q)$  by  $E^{(s)} = \bigoplus_{i=0}^n E_i^{(s)}$ ,  $F^{(s)} = \bigoplus_{i=0}^n F_i^{(s)}$ . The proof of the following proposition is borrowed from 3.3.3 in [12].

**Proposition 2.36.** *We have isomorphisms of functors  $E^{(s-1)}E \simeq \bigoplus_{j=0}^{s-1} E^{(s)} \binom{s-1-2j}{2}$  and  $F^{(s-1)}F \simeq \bigoplus_{j=0}^{s-1} F^{(s)} \binom{s-1-2j}{2}$ .*

*Proof.* Let

$$Y = \{V_1 \subset V_2 \subset V_3 \mid V_1 \in \text{Gr}(i), V_2 \in \text{Gr}(i+1), V_3 \in \text{Gr}(i+s), V_1 \subset V_3, V_2 \subset V_3\}.$$

We have the following commutative diagram. The maps are the canonical projections.

$$\begin{array}{ccccc} \text{Gr}(i, i+s) & \xrightarrow{x} & & \text{Gr}(i+s) & \\ & & & \uparrow r' & \\ & & & \text{Gr}(i+1, i+s) & \\ & \swarrow t & Y & \xrightarrow{v} & \text{Gr}(i+1, i+s) \\ & & \downarrow u & & \downarrow p' \\ \text{Gr}(i) & \xleftarrow{p} & \text{Gr}(i, i+1) & \xrightarrow{r} & \text{Gr}(i+1) \end{array}$$

We have that  $E_{i+1}^{(s-1)} E_i = r'_! p'^* r_! p^* (\frac{s(n+1-i-s)-1}{2})$ . By proper base change,  $r'_! p'^* r_! p^* \simeq r'_! v_! u^* p^*$ , and hence  $E_{i+1}^{(s-1)} E_i \simeq x_! t_! t^* w^* (\frac{s(n+1-i-s)-1}{2})$ . Since  $t$  is a  $\mathbb{P}^{s-1}$ -bundle,  $t_! \overline{\mathbb{Q}}_l \simeq \bigoplus_{j=0}^{s-1} \overline{\mathbb{Q}}_l[-2j](-j)$ . Thus  $t_! t^* \simeq t_! \overline{\mathbb{Q}}_l \otimes - \simeq \bigoplus_{j=0}^{s-1} [-2j](-j)$ , and

$$E_{i+1}^{(s-1)} E_i \simeq \bigoplus_{j=0}^{s-1} x_! w^* [-2j](-j) (\frac{s(n+1-i-s)-1}{2}) \simeq \bigoplus_{j=0}^{s-1} E_i^{(s)} (\frac{s-1-2j}{2}).$$

The second isomorphism is proved similarly.  $\square$

**Corollary 2.37.** *We have  $[E^{(s)}] = \frac{[E^s]}{[s]_q!}$  and  $[F^{(s)}] = \frac{[F^s]}{[s]_q!}$ .*

### 3. KOSZUL DUALITY

**3.1. Koszul duality.** Given a tensor product  $V$  of simple representations of  $U_q(\mathfrak{sl}_2)$ , let  $\mathcal{T} = \mathcal{T}_P(q)$  denote the corresponding triangulated categorification of 2.33. In the spirit of Soergel, we outline how to pass to an abelian categorification via Koszul duality, as in 3.6 of [12].

Let  $L_I$  denote the direct sum of the simple perverse sheaves in  $\mathcal{T}$ . Let  $\mathcal{L}_I$  denote the full subcategory of  $\mathcal{T}$  consisting of the semisimple perverse sheaves in  $\mathcal{T}$  and their shifts and Tate twists. Let  $A = \text{End}_{\mathcal{T}}^{\bullet}(L_I)$ , regarded as an algebra via composition. Then  $\text{Ext}_{\mathcal{T}}^{\bullet}(L_I, -)$  defines a fully faithful functor from  $\mathcal{L}_I$  to the category  $\mathcal{A}$  of finitely generated graded left modules over  $A$ .

By the decomposition theorem of [3], the endofunctors  $E, F$  of  $\mathcal{T}$  preserve  $\mathcal{L}_I$ , as does  $G$ . Let  $x, z \in A$ , and  $y \in \text{Ext}_{\mathcal{T}}^{\bullet}(L_I, E(L_I))$ . The action  $x \cdot y \cdot z = xyE(z)$  gives  $\text{Ext}_{\mathcal{T}}^{\bullet}(L_I, E(L_I))$  the structure of a graded  $A$ -bimodule, and  $\text{Ext}_{\mathcal{T}}^{\bullet}(L_I, E(L_I)) \otimes_A -$  defines an exact endofunctor  $E_a$  of  $\mathcal{A}$ . In the same way,  $F$  and  $G$  give rise to exact endofunctors  $F_a$  and  $G_a$  of  $\mathcal{A}$ .

After 2.32,  $E_a, F_a$ , and  $G_a$  induce an action of  $U_q(\mathfrak{sl}_2)$  on  $K_0(\mathcal{A})$ . The endomorphisms  $X \in \text{End}^{\bullet}(E)$  and  $T \in \text{End}^{\bullet}(E^2)$  induce endomorphisms  $X_a \in \text{End}(E_a)$  and  $T_a \in \text{End}((E_a)^2)$  satisfying the relations 2.27 and 2.29. This gives  $\mathcal{A}$  the structure of an abelian categorification. As in 2.5,  $\mathcal{A}$  essentially has the structure of a  $U_q(\mathfrak{sl}_2)$ -categorification, in the sense of the higher representation theory programme of Chuang and Rouquier.

The indecomposable projective objects of  $\mathcal{A}$  are the modules  $\text{Ext}_{\mathcal{T}}^{\bullet}(L_I, K)$ , where  $K$  is a simple perverse sheaf in  $\mathcal{T}$ . Thus there is an action of  $\mathbb{Z}[q, q^{-1}]$  on  $K_0(\mathcal{A})$ , defined by  $q \cdot [\text{Ext}_{\mathcal{T}}^{\bullet}(L_I, K)] = [\text{Ext}_{\mathcal{T}}^{\bullet}(L_I, K(-\frac{1}{2}))]$ . Let  $K_0(\mathcal{L}_I)$  denote the Grothendieck group of  $\mathcal{L}_I$  as an additive category. There is also an action of  $\mathbb{Z}[q, q^{-1}]$  on  $K_0(\mathcal{L}_I)$ , defined by  $q \cdot [K] = [K(-\frac{1}{2})]$ .

The functor  $\text{Ext}_{\mathcal{T}}^{\bullet}(L_I, -)$  induces an isomorphism  $\mathbb{Q}_q \otimes_{\mathbb{Z}[q, q^{-1}]} K_0(\mathcal{L}_I) \simeq \mathbb{Q}_q \otimes_{\mathbb{Z}[q, q^{-1}]} K_0(\mathcal{A})$  of  $U_q(\mathfrak{sl}_2)$ -modules. The  $U_q(\mathfrak{sl}_2)$ -modules  $\mathbb{Q}(q) \otimes_{\mathbb{Z}[q, q^{-1}]} K_0(\mathcal{T})$  and  $\mathbb{Q}_q \otimes_{\mathbb{Z}[q, q^{-1}]} K_0(\mathcal{L}_I)$  are also isomorphic, so the decategorification of  $\mathcal{A}$  is  $V$ .

*Remark 3.1.* There is a canonical basis of  $V$  consisting of isomorphism classes of the indecomposable projectives in  $\mathcal{A}$ . In 3.5.9 of [11], Zheng identifies this basis with Lusztig's canonical basis.

**3.2. An abelian  $\mathfrak{sl}_2$ -categorification of simple representations of  $\mathfrak{sl}_2$ .** Let  $V$  denote the simple representation of  $\mathfrak{sl}_2$  of dimension  $n + 1$ . In this case, Proposition 2.31 takes the following form.

**Proposition 3.2.** *There are endofunctors  $E$  and  $F$  and endomorphisms  $X \in \text{End}^\bullet(E)$ ,  $T \in \text{End}^\bullet(E^2)$  giving  $\mathcal{T} = \bigoplus_{i=0}^n \mathcal{D}_{GL_n(\mathbb{C})}(\text{Gr}(i))$  the structure of an  $\mathfrak{sl}_2$ -categorification of  $V$ .*

Via Koszul duality (as in 3.1, ignoring Tate twists),  $\mathcal{T}$  gives rise to an abelian  $\mathfrak{sl}_2$ -categorification  $\mathcal{A}$  of  $V$ . Indeed,  $\mathcal{A}$  is the category of finitely generated graded left modules over the algebra  $A = \bigoplus_{i=0}^n \text{End}_{\mathcal{D}(\text{Gr}(i))}^\bullet(\overline{\mathbb{Q}}_l) \simeq \bigoplus_{i=0}^n H^\bullet(\text{Gr}(i))$ .

Let  $E_a$  and  $F_a$  denote the structural endofunctors of  $\mathcal{A}$ . Regarding  $H^\bullet(\text{Gr}(i, i + 1))$  as an  $H^\bullet(\text{Gr}(i))$ - $H^\bullet(\text{Gr}(i + 1))$ -bimodule, we have an isomorphism of functors

$$E_a \simeq \bigoplus_{i=0}^n H^\bullet(\text{Gr}(i, i + 1)) \otimes_A -.$$

Regarding  $H^\bullet(\text{Gr}(i, i + 1))$  as an  $H^\bullet(\text{Gr}(i + 1))$ - $H^\bullet(\text{Gr}(i))$ -bimodule, we have an isomorphism of functors  $F_a \simeq \bigoplus_{i=0}^n H^\bullet(\text{Gr}(i, i + 1)) \otimes_A -$ .

This agrees with the weak  $\mathfrak{sl}_2$ -categorification of  $V$  given in 2.2. The structural endomorphisms  $X_a \in \text{End}^\bullet(E_a)$  and  $T_a \in \text{End}^\bullet((E_a)^2)$  enhance 2.2 to an  $\mathfrak{sl}_2$ -categorification of  $V$ .

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